

Name of College: S. R. Luthra Institute of Management								
Faculty	Management			Program	Master of Business Administration (M.B.A.)			
Year	II			Version	1.0			
Semester	3			Effective From	June 2024			
Course Code	MGMB17301	Course Name	Financial Markets and Services (FMS)					
Teaching Scheme								
				Examination Scheme				
Credits	Lecture (L)	Tutorial (T)	Practical (P)	ME	CE	SE	V	Total
4	4	0	0	30	40	50	---	120

Course Outcomes:

CO1	Develop an understanding of the existing structure of Indian financial system.
CO2	Analyse the interactions among different components of the Indian financial system.
CO3	Build an ability to evaluate the financial instruments for effective financial decisions.
CO4	Determine the role of various financial market regulators in the Indian financial system.
CO5	Assess the skills to run and manage different financial services as a manager/entrepreneur.

Mapping Course Outcomes to Program Outcomes:

	PO1	PO2	PO3	PO4	PO5	PO6
CO1	3	3	1	2	1	1
CO2	3	3	1	2	1	2
CO3	3	3	2	2	2	1
CO4	3	2	1	1	2	2
CO5	3	3	2	2	1	2



Sr. No	Module	Description	CO	Marks	Hours
1	I	<p>Indian Financial System: Introduction, Formal and Informal Financial Sector, Four Components of Formal Financial System</p> <p>Functions of a Financial System, Key elements of a well-functioning Financial System.</p> <p>Types of Financial Markets: Capital Market:</p> <ul style="list-style-type: none"> • Introduction, Functions, Instruments, Scams, Reforms in Capital Market in India. <p>Money Market:</p> <ul style="list-style-type: none"> • Introduction, Functions, Benefits of efficient money market • Instruments and Overview of an Indian Money Market. <p>Primary Market:</p> <ul style="list-style-type: none"> • Meaning, Book Building Process, Primary Issues of Fund Raising, IPO Eligibility Norms, Recent IPO in India, Green Shoe Option. <p>Secondary Market:</p> <ul style="list-style-type: none"> • Meaning, Functions, Stock exchanges, Listing and trading norms • Recent reforms in the regulations. 	1,5	13	10
2	II	<p>Financial Services: Meaning, Characteristics, Distinctiveness, Classification of Financial Services, Financial intermediation.</p> <p>Assets/Fund-Based Financial Services and Fee/Advisory-Based Financial Services: Merchant Banking:</p> <ul style="list-style-type: none"> • Meaning, Services, Pre Issue and Post Issue Obligation, SEBI Merchant Banking Regulations. <p>Leasing & Hire Purchase (HP):</p> <ul style="list-style-type: none"> • Introduction, Lease Structure, Types of Leases, Pros and Cons. Essentials of HP, Difference between Lease and HP, Pros and Cons, Differences among Lease, HP and Instalments Sale 	2,3	12	12

		<p>Factoring and Forfeiting:</p> <ul style="list-style-type: none"> • Meaning, Flow chart, Types of Factoring, comparing factoring with Bills discounting and cash credit • International Factoring, Factoring in India. Features of forfeiting, Benefits, Flow chart, Difference between factoring and forfeiting <p>Growth of forfeiting in India.</p> <p>Credit Rating:</p> <ul style="list-style-type: none"> • Introduction, Importance, Benefits, Rating Methodology, Rating Symbols, SEBI Regulations, Conflict of interest, • Credit rating agencies in India. <p>Housing Finance:</p> <ul style="list-style-type: none"> • Meaning, Characteristics, Documents required, Mortgage loan and Reverse Mortgage loan • Initiatives/Measures taken to develop the housing finance sector in India <p>Banking and Non-Banking Financial Services:</p> <p>Banking Services:</p> <ul style="list-style-type: none"> • Structure of the Indian Banking System, Functions of the Bank, Bancassurance, Priority Sector Lending Norms <p>Growth of Banking Sector in India.</p>			
3	III	<p>Non-Banking Financial Services:</p> <ul style="list-style-type: none"> • Types of NBFCs, Mutual Fund, Insurance, Pension Plans/Schemes in India, Venture Capital and Private Equity, Private Placement <p>Securitisation and ARC, Depository Services.</p> <p>Crowdfunding:</p> <ul style="list-style-type: none"> • Meaning, Examples of Crowdfunding, Types of Crowdfunding, Crowdfunding Platforms • Risk and Investors Precautions, Crowdfunding Scenario in India. <p>Cryptocurrency:</p> <ul style="list-style-type: none"> • Concept of Cryptocurrency. 	3, 4	12	8
4	IV	<p>CIBIL:</p> <ul style="list-style-type: none"> • Consumer Credit Information Reporting, Meaning and Functioning. 	4,5	13	10

	<ul style="list-style-type: none"> • Financial Inclusion and Microfinance: Meaning, Microfinance Delivery Mechanism, Pros and Cons of Microfinance, Future Prospect of Microfinance • Objectives of Financial Inclusion, Financial Inclusion Schemes in India. 			
	Regulators of Financial Services in India: <ul style="list-style-type: none"> • SEBI, RBI, IRDAI, Role of AMFI in Mutual Fund Industry in India. 			
	Digitalization of Financial Services: <ul style="list-style-type: none"> • Dematerialization of Shares, ASBA, Digital Wallet and Payments Bank. 			

References:

Books:	
1.	Pathak, B. V. (2014). <i>Indian financial system</i> . Pearson Education India.
2.	Khan, M. Y. (2019). <i>Indian Financial System, 11/e</i> (Vol. 11). McGraw-Hill Education.
3.	Khan, M. Y. (2004). <i>Financial services</i> . Tata McGraw-Hill.
4.	Bhole, L. M., & Mahakud, J. (2017). <i>Financial institutions and markets: structure growth and innovations</i> . McGraw-Hill.
5.	Gordon, E., & Natarajan, K. (2009). <i>Financial markets and services</i> . Mumbai: Himalaya Publishing House.
Newspapers / Magazines / Journals	
1.	Journal of Financial Services Research
2.	International Journal of Financial Services Management
3.	Economic Times
4.	Business Standard
5.	Financial Express
6.	Harvard Business Review
Web Source:	
1.	https://financialservices.gov.in/ - Dept of Financial Services, Govt. of India.
2.	https://www.sebi.gov.in/
3.	https://www.rbi.org.in/
4.	https://www.amfiindia.com/
5.	https://www.irdai.gov.in/